AIR – IPEDS Educator Webinar
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LEVERAGING THE CARNEGIE CLASSIFICATIONS

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Overview

- The Carnegie Classifications®
  - A brief review
  - The 2018 Update
  - Other than IR Uses
- IR Uses of the Carnegie Classifications
  - Identifying peer institutions
  - Benchmarking analyses
- The Carnegie Classifications and IPEDS
  - Basis upon and residence within IPEDS
  - Moving data from CCIHE to the IPEDS Data Center

PART 1 – THE CARNEGIE CLASSIFICATIONS®

Brief history, current structure, 2018 update and non-IR Uses

Carnegie Classifications – Original Purpose

- …identify categories of colleges and universities that would be relatively homogeneous with respect to the functions of the institutions as well as with respect to characteristics of students and faculty members
- Shorthand for quickly characterizing an institution
- Government policy: system differentiation and resource allocation
- Help prospective students or staff members identify the pool of institutions they might wish to join
- Research uses: sampling, data analysis, or both

Update History of the Carnegie Classification

- Carnegie Commission for Higher Education
  - 1973 – Initial release to public
  - 1976 – Update by original team
- The Boyer Years – Still episodic
  - 1987
  - 1994
- The McCormick / Zhao years – periodic and consistent
  - 2000 – Attempt to downsize Research/Doctoral to 2 categories
  - 2005 – Back to 3 R/D categories; introduce Associate's (14) categories; new "dimensional" classifications
  - 2010 – Chun-Mei Zhao implements without changes

Carnegie Classification Evolution

- Five parallel classifications added in 2005
  - Framework: What is taught, to whom, in what setting?
    - Undergraduate instructional program
    - Graduate instructional program
    - Enrollment profile
    - Undergraduate profile
    - Size & Setting
- Legacy (basic) classification evolves slowly, continues its dominance in framing institutional diversity in the US

The IU-CPR Years, 2015 - ??

- 2015 – (Jan. 1) Classifications move to IU Center for Postsecondary Research
  - Funded for four years by The Lumina Foundation
  - Borden, Wakhungu, and Stewart
  - Advisory group
    - **Martha Kanter**, Distinguished Visiting Professor of Higher Education and Senior Fellow, NYU
    - **Kevin Kinser**, Professor and Chair, Education Policy Studies, Penn State University
    - **Deborah Santucci**, Chief Operating Officer and Vice President for Policy, Excelencia in Education
    - **Jamey Rorison,** Bill & Melinda Gates Foundation
    - **Paul, LeMahieu**, Senior Vice President, Program, Carnegie Foundation for the Advancement of Teaching
- 2015 – (Dec. 24) First CPR Update
  - Re-crafting Associate's Categories based on program and population mix
  - Decision to move to 3-year update cycle

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Changes in 2015

- Baccalaureate/Associate's Colleges
  - Mixed baccalaureate/associate's colleges (10-<50% BA+)
  - Associate's Dominant (<10% BA+)
- Associate's Colleges

↓Program mix/Student Mix→	High Traditional	Mixed	High Non-Traditional
High Transfer	Hi Trans/High Trad	Hi Trans/Mixed	High Trans/Hi Non-Trad
Mixed	Mixed / High Trad	Mixed/Mixed	Mixed/Hi Non-Trad
High Career & Technical	Hi CT/Hi Trad	Hi CT/Mixed	Hi CT / Hi Non Trad

History Chart

Original (1973) / 1976 / 1987	1994	2000	2005/2010	2015
Doctoral-Granting Universities	**Doctorate-Granting Universities**	**Doctoral/Research Universities**	**Doctoral/Research Universities**	**Doctoral Universities**
Research Universities I	Research Universities I	Doctoral/Research Universities - Extensive	Research Universities (very high research activity)	Highest Research Activity
Research Universities II	Research Universities II	Doctoral/Research Universities - Intensive	Research Universities (high research activity)	Higher Research Activity
Doctoral-Granting Universities I	Doctoral Universities I		Doctoral/Research Universities	Moderate Research Activity
Doctoral-Granting Universities II	DoctoralUniversities II			
Comprehensive Universities and Colleges	**Master's (Comprehensive) Colleges and Universit**	**Master's Colleges and Universities**	**Master's Colleges and Universities**	**Master's Colleges and Universities**
Comprehensive Universities and Colleges I	Master's (Comprehensive) Colleges and Universities I	Master's Colleges and Universities I	Larger programs	Larger programs
Comprehensive Universities and Colleges II	Master's (Comprehensive) Colleges and Universities II	Master's Colleges and Universities II	Medium programs	Medium programs
			Smaller programs	Smaller programs
Liberal Arts Colleges	**Baccalaureate Colleges**	**Baccalaureate Colleges**	**Baccalaureate Colleges**	**Baccalaureate Colleges**
Liberal Arts Colleges I	Baccalaureate (Liberal Arts) Colleges I	Liberal Arts	Arts & Sciences	Baccalaureate Colleges - Arts & Sciences Focus
Liberal Arts Colleges II	Baccalaureate Colleges II	General	Diverse Fields	Baccalaureate Colleges - Diverse Fields
		Baccalaureate/Associate's Colleges	Baccalaureate/Associate's Colleges	**Baccalaureate/Associate's Colleges**
				Mixed Baccalaureate/Associate's
Two-Year Colleges and Institutes	**Associate of Arts Colleges**	**Associate's Colleges**	**Associate's Colleges**	Associate's Dominant
			Public 4-year Primarily Associate's	**Associate's Colleges**
			Private Not-for-profit 4-year Primarily Associate's	High Transfer-High Traditional
			Private For-profit 4-year Primarily Associate's	High Transfer-Mixed Trad/Nontrad
			Public Rural-serving Small	High Transfer-High Nontraditional
			Public Rural-serving Medium	Mixed Transfer/CareerTech-High Traditional
			Public Rural-serving Large	Mixed Transfer/CareerTech-Mixed Trad/Nontrad
			Public Suburban-serving Single Campus	Mixed Transfer/CareerTech-High Nontraditional
			Public Suburban-serving Multicampus	High Career & Technical-High Traditional
			Public Urban-serving Single Campus	High Career & Technical-Mixed Trad/Nontrad
			Public Urban-serving Multicampus	High Career & Technical-High Nontraditional
			Public Special Use	**Special Focus - Two-Year**
			Private Not-for-profit	Health Professions
			Private For-profit	Technical Professions
			Public 2-year colleges under 4-year universities	Arts & Design
Prof. Schools and Other Spec. Institutions	**Specialized Institutions**	**Specialized Institutions**	**Special Focus Institutions**	**Special Focus - Four Year**
Theological seminaries, Bible colleges and other institutions offering degrees in religion	Theological seminaries, Bible colleges and other institutions offering degrees in religion	Theological seminaries and other faith-related institutions	Theological seminaries and other faith-related institutions	Faith-Related Institutions
Medical schools and medical centers	Medical schools and medical centers	Medical schools and medical centers	Medical schools and medical centers	Medical Schools & Centers
Other separate health profession schools	Other separate health profession schools	Other separate health professions schools	Other health professions schools	Other Health Professions Schools
Schools of engineering and technology	Schools of engineering and technology	Schools of engineering and technology	Schools of engineering	Engineering Schools
			Other technology-related schools	Other Technology-Related Schools
Schools of business and management	Schools of business and management	Schools of business and management	Schools of business and management	Business & Management Schools
Schools of art, music, and design	Schools of art, music, and design	Schools of art, music, and design	Schools of art, music, and design	Arts, Music & Design Schools
Schools of law	Schools of law	Schools of law	Schools of law	Law Schools
Teachers College	Teachers colleges	Teacher's colleges		
Other specialized institutions	Other specialized institutions	Other special-focus institutions	Other special-focus institutions	Other Special Focus Institutions
	Tribal Colleges and Universities	**Tribal Colleges**	**Tribal Colleges**	**Tribal Colleges**

The 2018 Update

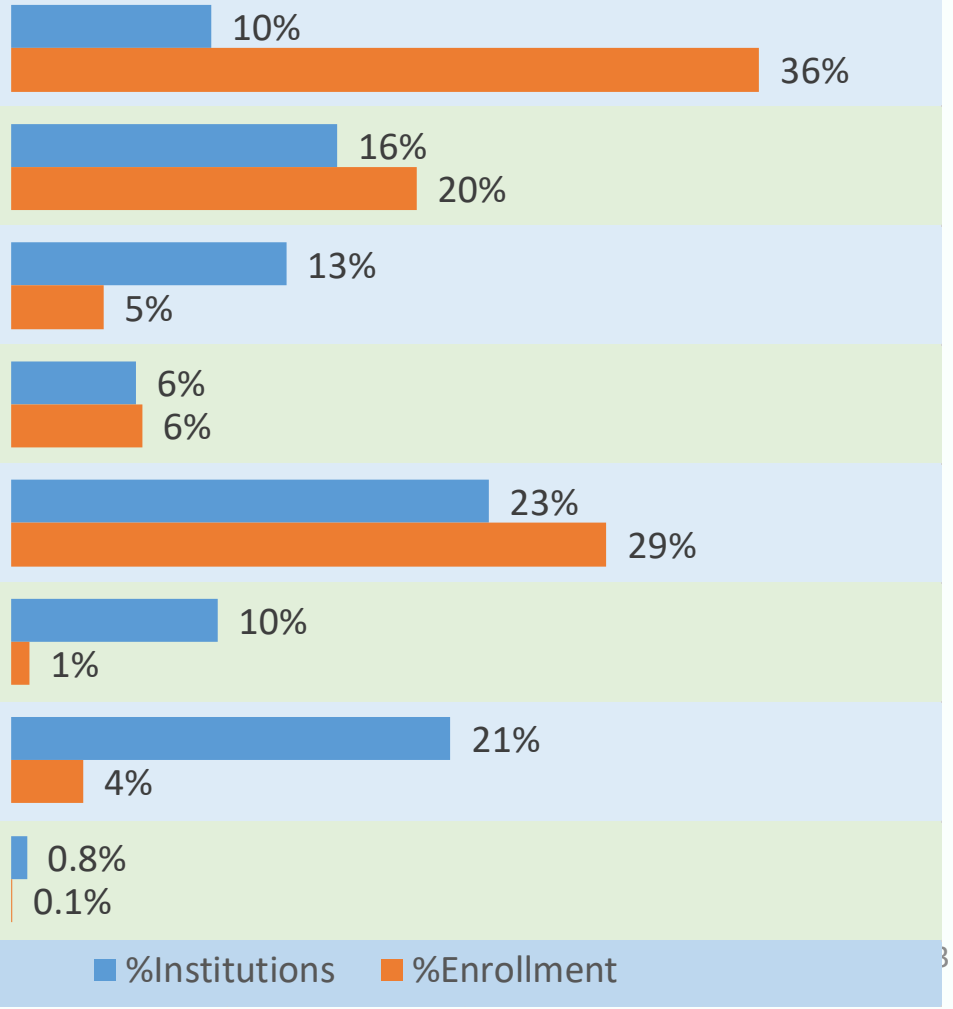
- Public Review Release – December ~~18~~20, 2019
- Data Sources
  - 2016-17 IPEDS Completions
  - 2017 IPEDS Enrollment, Human Resources
  - FY2016 NSF/NIH GSS (Postgraduates and Non-Faculty PhD Research Staff)
  - FY2017 NSF HERD (Research Expenditures)
- Major change
  - Reshaping the Doctoral Universities Categories
  - Accommodating professional practice doctorates in the third category

The 2018 Update

2005/2010	2015	2018
RU/VH: Research Universities (very high research activity)	R1: Doctoral Universities-Highest Research Activity	R1: Doctoral Universities-Very High Research Activity
RU/H: Research Universities (high research activity)	R2: Doctoral Universities-Higher Research Activity	R2: Doctoral Universities-High Research Activity
DRU: Doctoral/Research Universities	R3: Doctoral Universities: Moderate Research Activity	D/PU: Doctoral/Professional Universities

Distribution of Institutions and Enrollment

	Number	Enrollment
Doctoral Universities	418	7,249,189
Master's Colleges and Universities	682	3,928,100
Baccalaureate Colleges	576	901,334
Baccalaureate/Associates Colleges	262	1,270,740
Associates Colleges	999	5,769,238
Special Focus, Two-Year	432	183,775
Special Focus, Four-Year	919	704,404
Tribal Colleges	34	16,424
	4,322	20,023,204



CCIHE – Other than IR Uses

- State legislation – funding allocations
  - Research intensive universities for research investments (R1/R2)
- Grant eligibility and funding rates
- Membership eligibility
  - Association of Research Libraries (R1/R2)
- Legitimacy of credential
  - Germany – legal use of title, “Doktor” (R1/R2)
  - U.S. States (Georgia/Nevada) – teacher licensing (out of state institutions, if not accredited program, R1/R2)
- US News & World Report Ranking Categories

USNWR Rankings

US News Category	CCIHE Category
National Universities	Doctoral Universities (all 3 categories…thus far)
National Liberal Arts Colleges	Baccalaureate Colleges – Arts & Science Focus
Regional Universities	Master's Colleges & Universities (all 3 categories)
Regional Colleges	Other Baccalaureate Colleges (Diverse Fields, Bacc/Assoc)

PART 2 – IR USES OF THE CCIHE

Identifying peer institutions and benchmarking analyses

AIR Member Survey (2016)

- Use of the basic and other classifications

	Basic	Undergrad Instruct Program	Graduate Instruct Program	Enroll-ment Profile	Undergrad Profile	Size and Setting
Never	13%	38%	56%	32%	33%	26%
Few times a year	75%	56%	40%	60%	57%	61%
Monthly	7%	1%	0%	4%	6%	8%
Weekly	1%	3%	3%	4%	3%	2%
Daily	3%	0%	0%	0%	0%	2%

AIR Member Survey (2016)

Purposes of Use	Percent
Identify peer institutions	71%
Conduct benchmarking studies	48%
Support strategic planning for an institution	31%
Conduct environmental scanning study	18%
Accreditation review preparation	18%
Conduct scholarly research	13%
I don’t use the Carnegie Classification data	9%

AIR Member Survey (2016)

- Respondents' suggestions on how to improve CCIHE
  - **Update the CCIHE**
    - Update more frequently
    - More institutional benchmarking tools
    - Readily accessible database with filters and statistical/graphic output
    - Classify for-profit institutions into different types
    - Include forward analyses
    - Use more national metrics to compare community colleges
  - **Other comments**
    - **Broaden awareness**
      - CCIHE
      - CCIHE web site
    - **Ranking**
      - CCIHE is **not** a ranking classification

Identifying Peers/Benchmarking with CCIHE

Live Demo

- Finding similar Institutions
- Using the standard and custom listings
- Filtering lists

- Reproducing the Research Activity Index
- Using the Public Data File

PART 3 – THE CARNEGIE CLASSIFICATIONS AND IPEDS

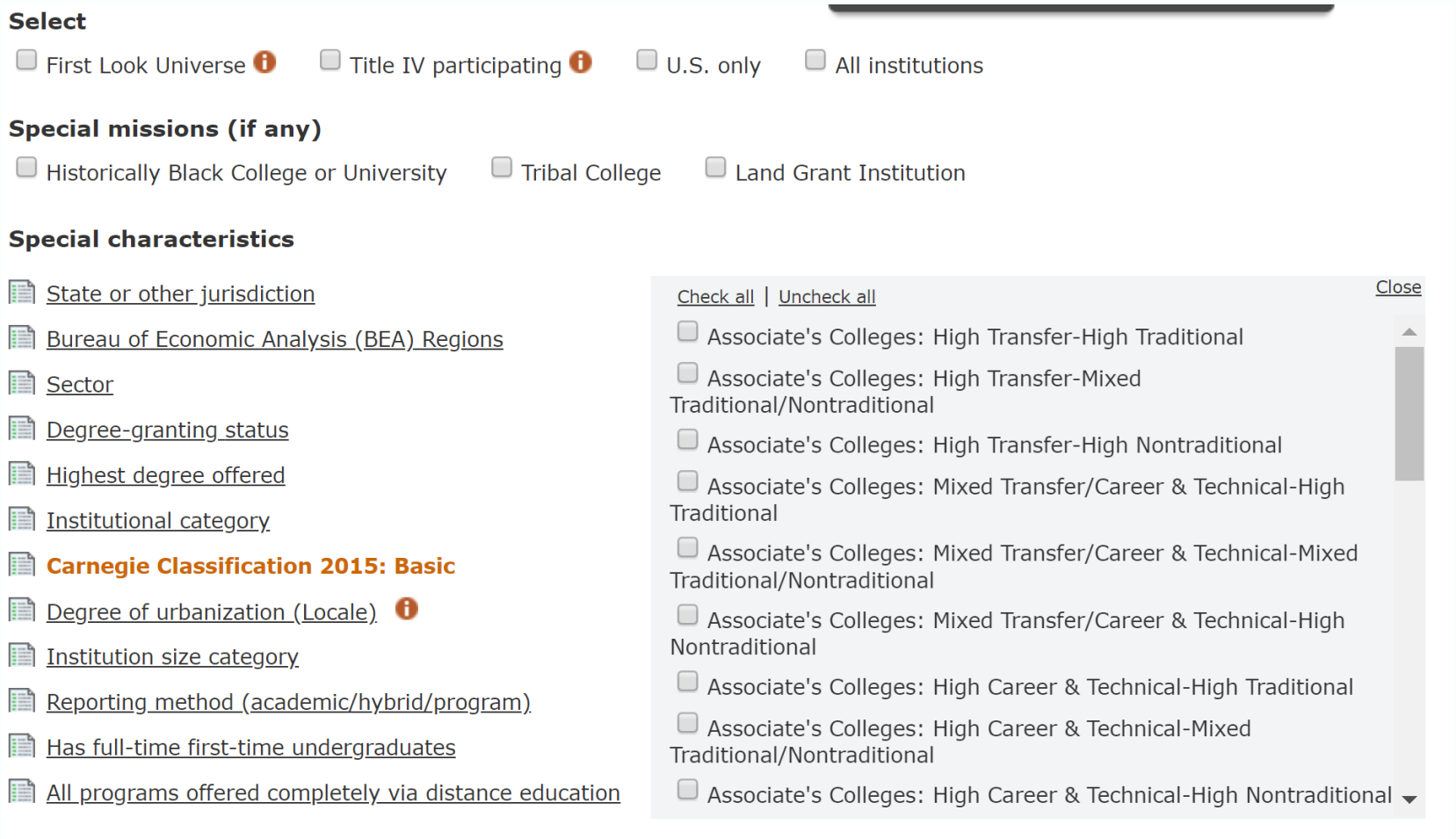
Basis upon and residence within IPEDS; Moving data from CCIHE to the IPEDS Data Center

CCIHE in IPEDS

- Institutional Characteristics: Institution classifications

CCIHE in IPEDS

- Also within EZGroup (Basic Classification only)



CCIHE and IPEDS Source Data

Classification	IPEDS variables
Basic	Completions by level and CIP
Undergraduate Instructional Program	Institutional level (IC), and program mix (Completions by CIP within level)
Graduate Instructional Program	Types of grad degrees and program mix (Completions by degree type and mix)
Enrollment Profile	Institution level (IC), enrollment by level (Fall Enr),
Undergraduate Profile	Institution level (IC), enrollment by course load (Fall Enr), selectivity (IPEDS Test Scores + College Board)
Size and Setting	Institution level (IC), enrollment size (Fall Enr), residential status (IC student charges + College Board)

Other Than IPEDS Source Data

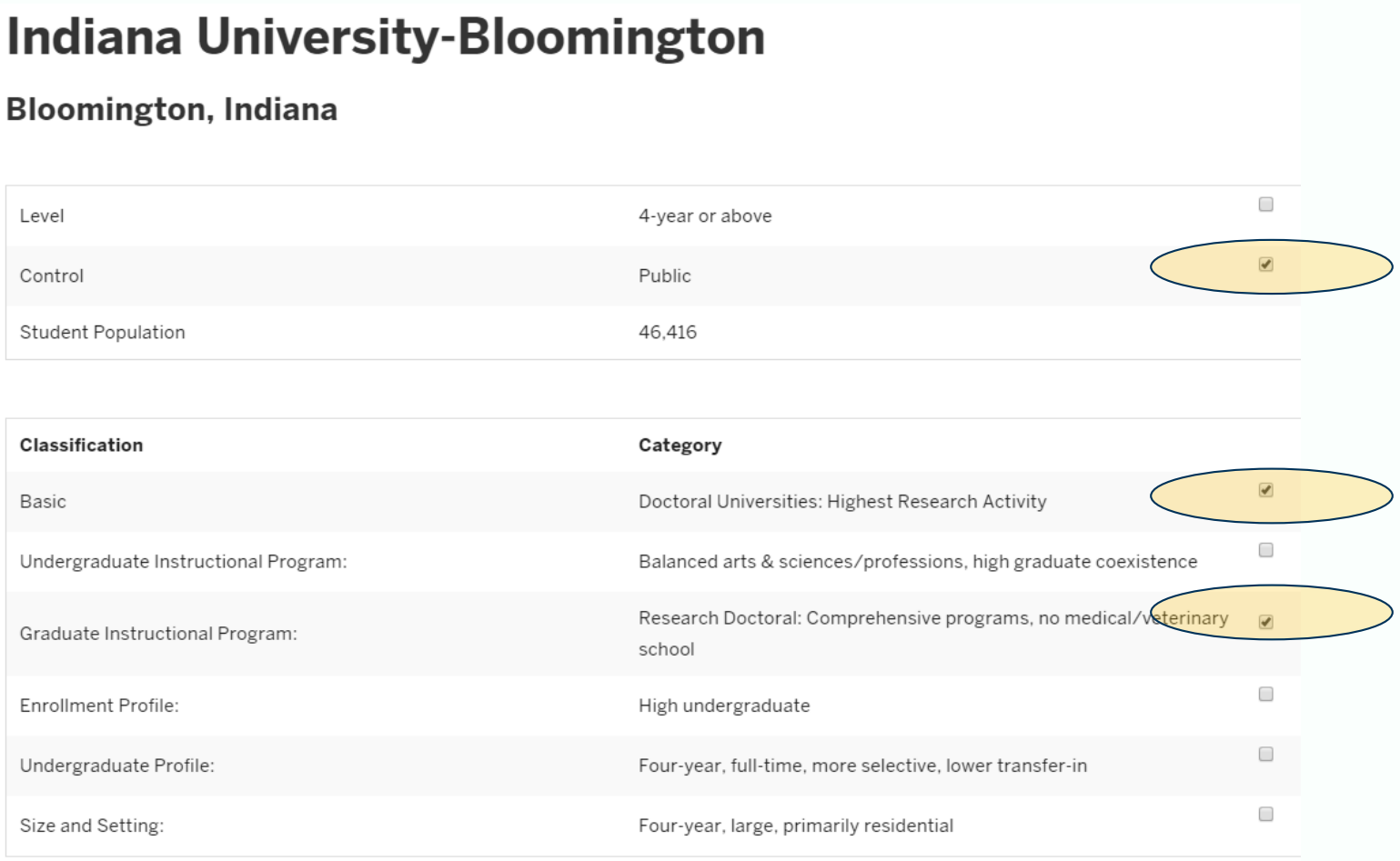
- NSF – For Research Volume
  - Higher Education R&D for Expenditures
  - S&E Research Staff (Postdocs and NFR)
- College Board Annual Survey **(Not Used in 2018 Update)**
  - Special focus
  - Selectivity
  - Transfer volume
  - Residential character

Using CCIHE Generated Lists in IPEDS Data Center

LIVE DEMO

New Feature – Download UID File

- Example using “Find Similar”



Indiana University-Bloomington

Bloomington, Indiana

Level	4-year or above
Control	Public
Student Population	46,416

Classification	**Category**
Basic	Doctoral Universities: Highest Research Activity
Undergraduate Instructional Program:	Balanced arts & sciences/professions, high graduate coexistence
Graduate Instructional Program:	Research Doctoral: Comprehensive programs, no medical/veterinary school
Enrollment Profile:	High undergraduate
Undergraduate Profile:	Four-year, full-time, more selective, lower transfer-in
Size and Setting:	Four-year, large, primarily residential

Resulting List

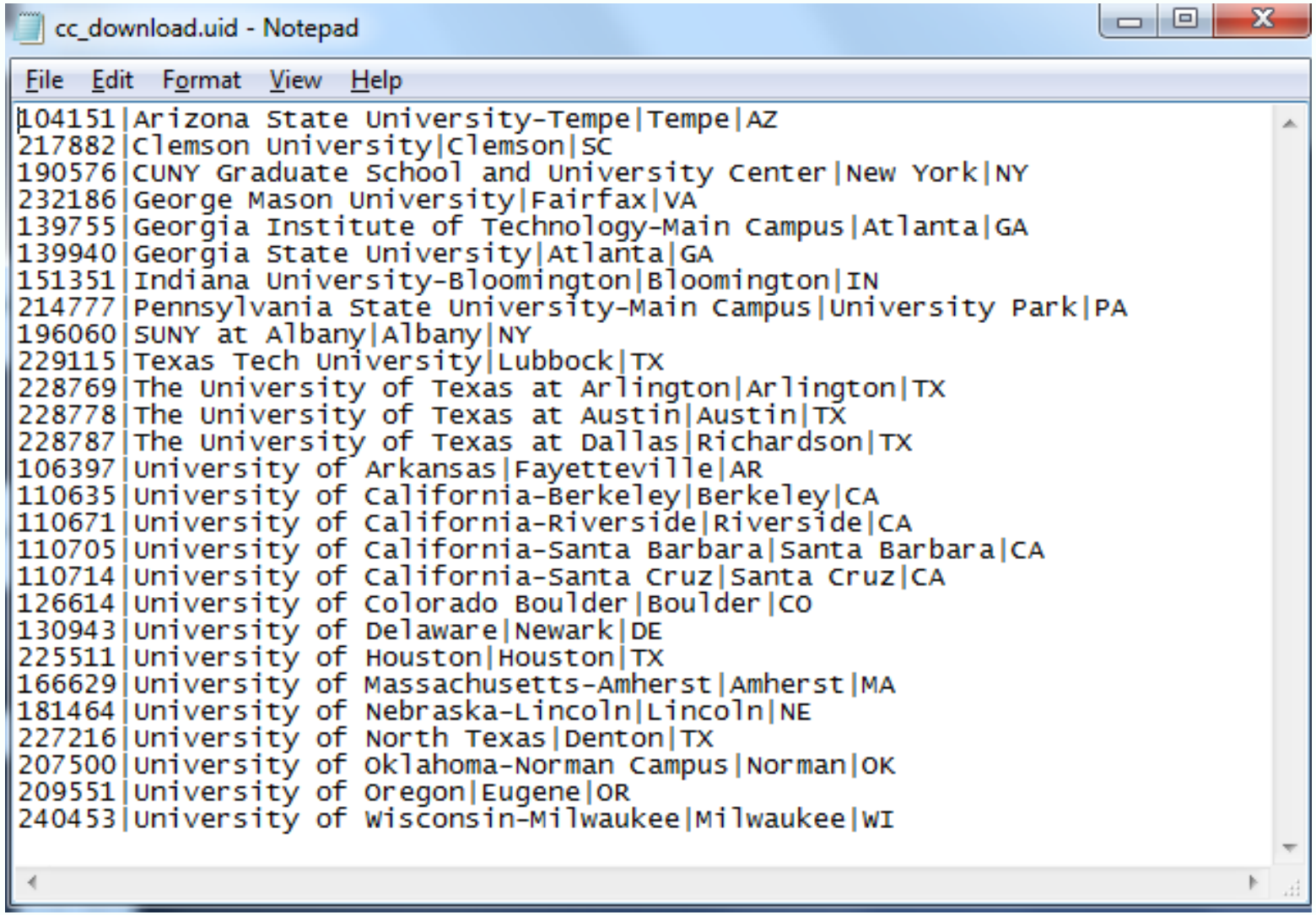
Home > Institution Lookup > Results

27 results for Graduate Instructional Program = "Research Doctoral" and Basic = "Doctoral Universities" and Control = "Public"

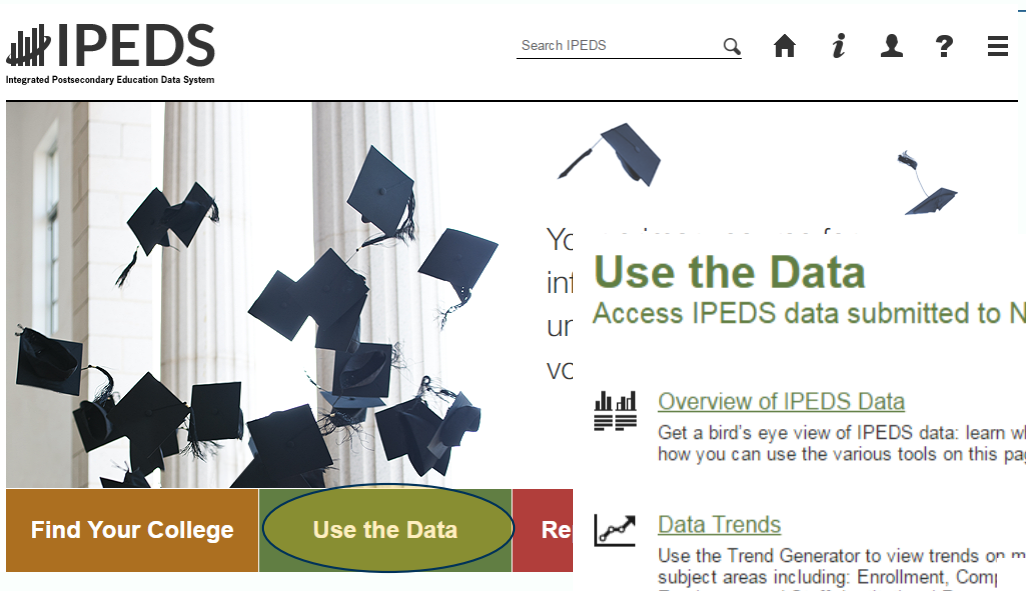
filter results | download results (csv) (uid) | back | start over

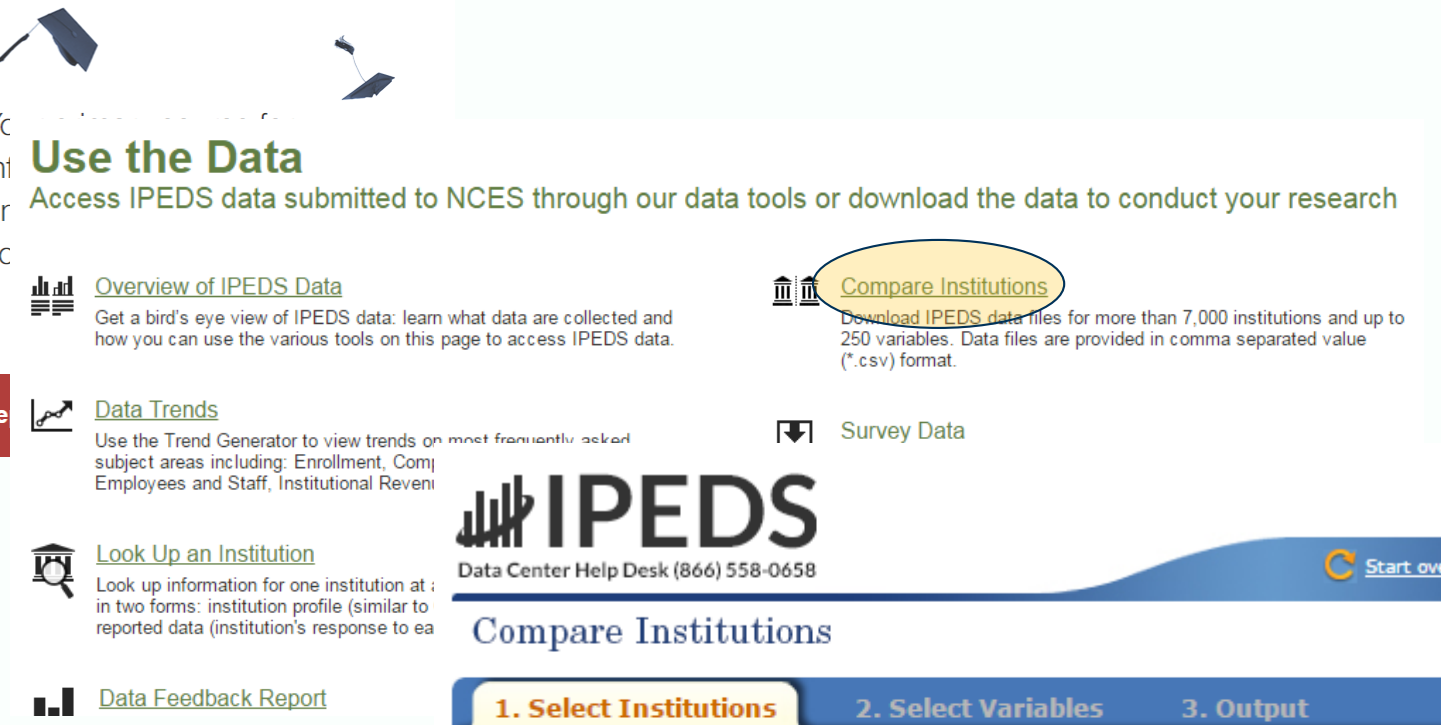
Institution	Location	Control
Arizona State University-Tempe	Tempe, Arizona	Public
Clemson University	Clemson, South Carolina	Public
CUNY Graduate School and University Center	New York, New York	Public
George Mason University	Fairfax, Virginia	Public
Georgia Institute of Technology-Main Campus	Atlanta, Georgia	Public
Georgia State University	Atlanta, Georgia	Public
Indiana University-Bloomington	Bloomington, Indiana	Public
Pennsylvania State University-Main Campus	University Park, Pennsylvania	Public
SUNY at Albany	Albany, New York	Public

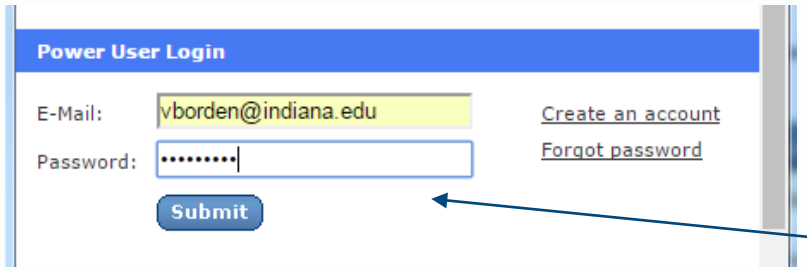
UID Download File

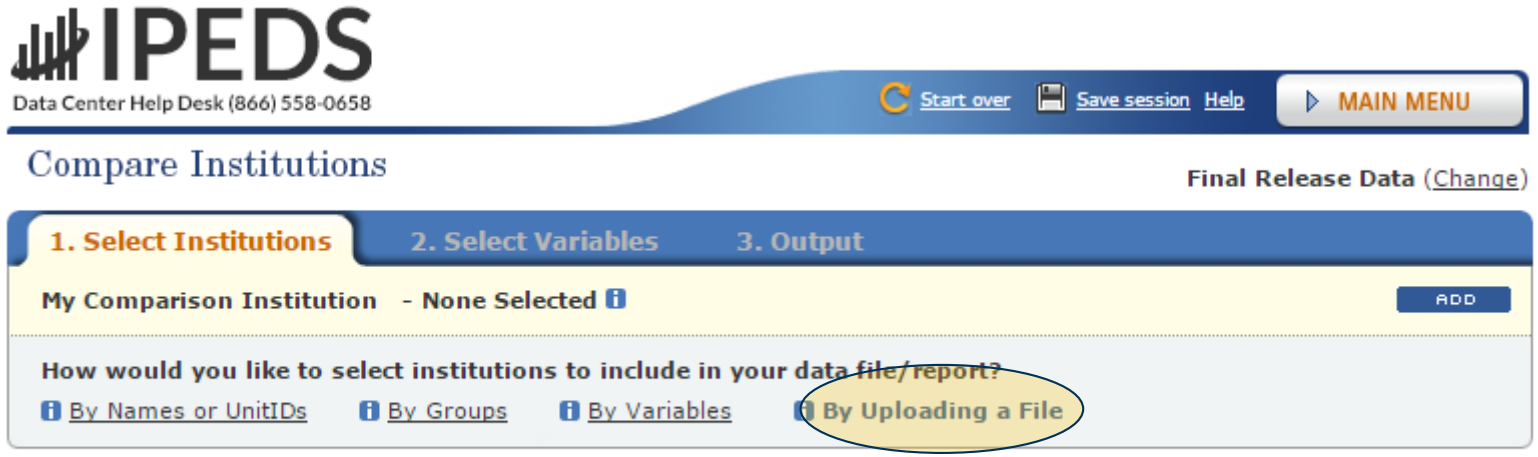


Upload to IPEDS Data Center



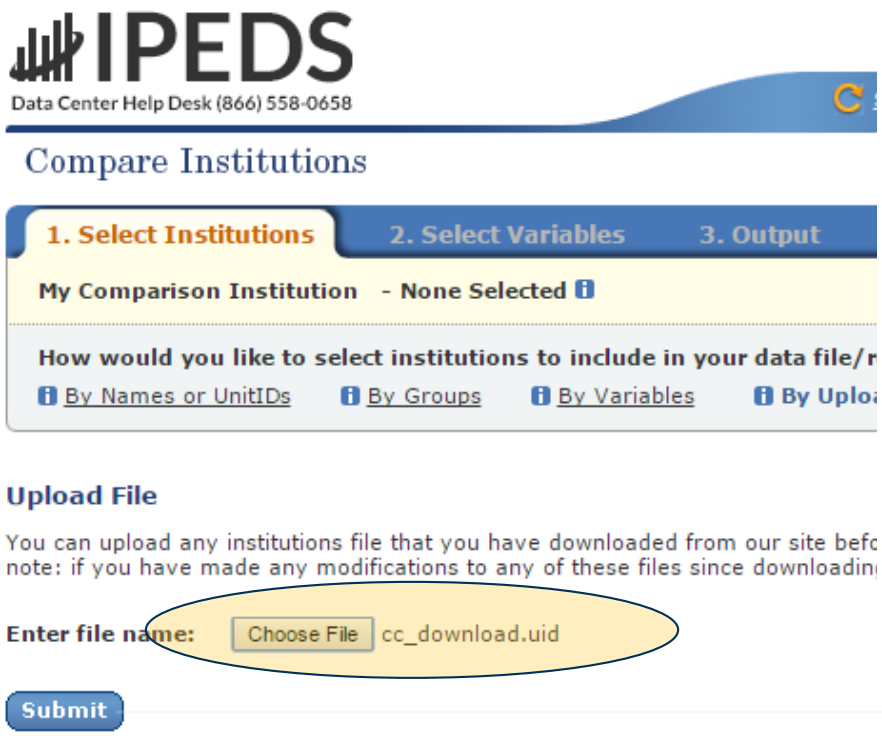


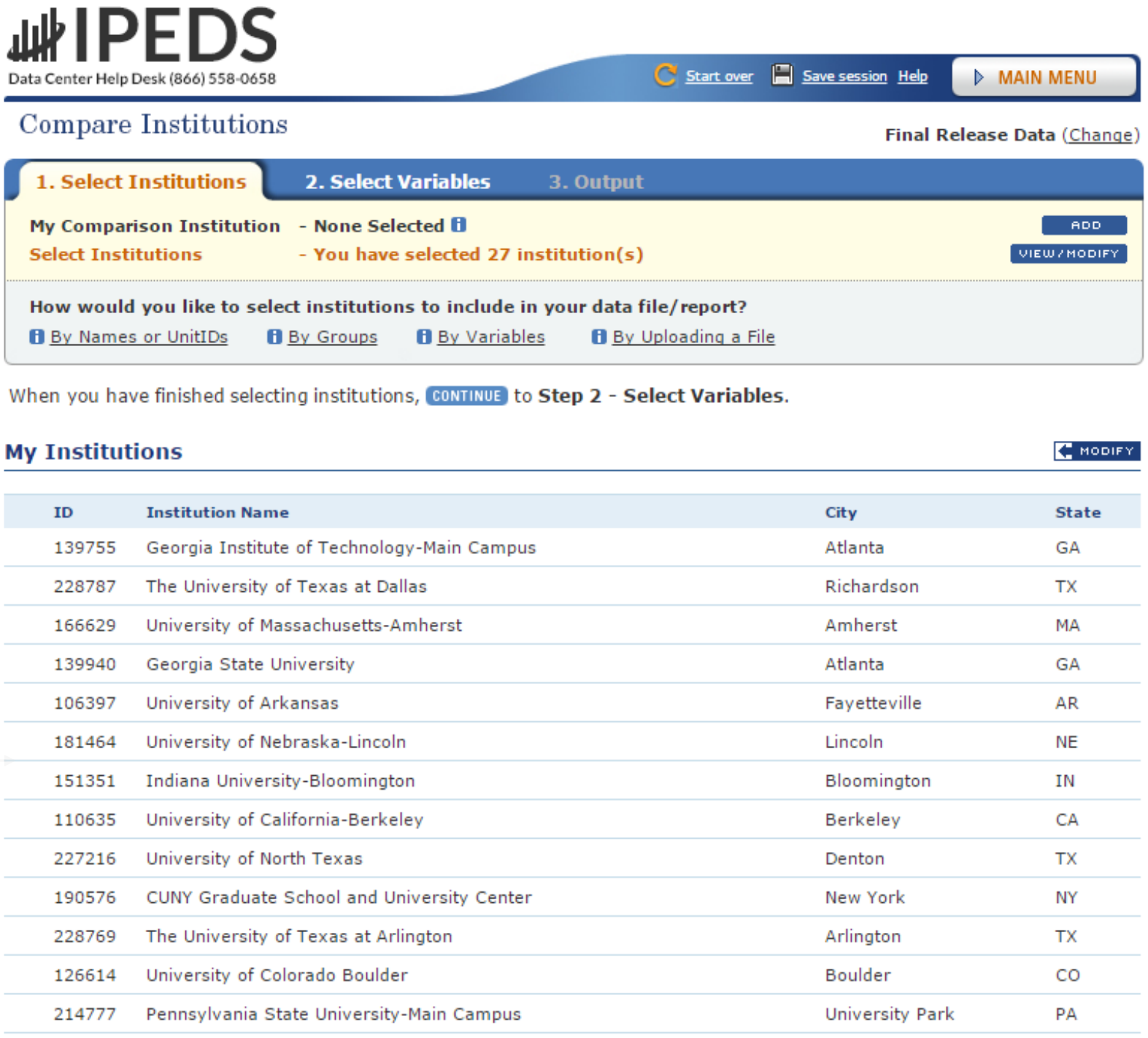




Login

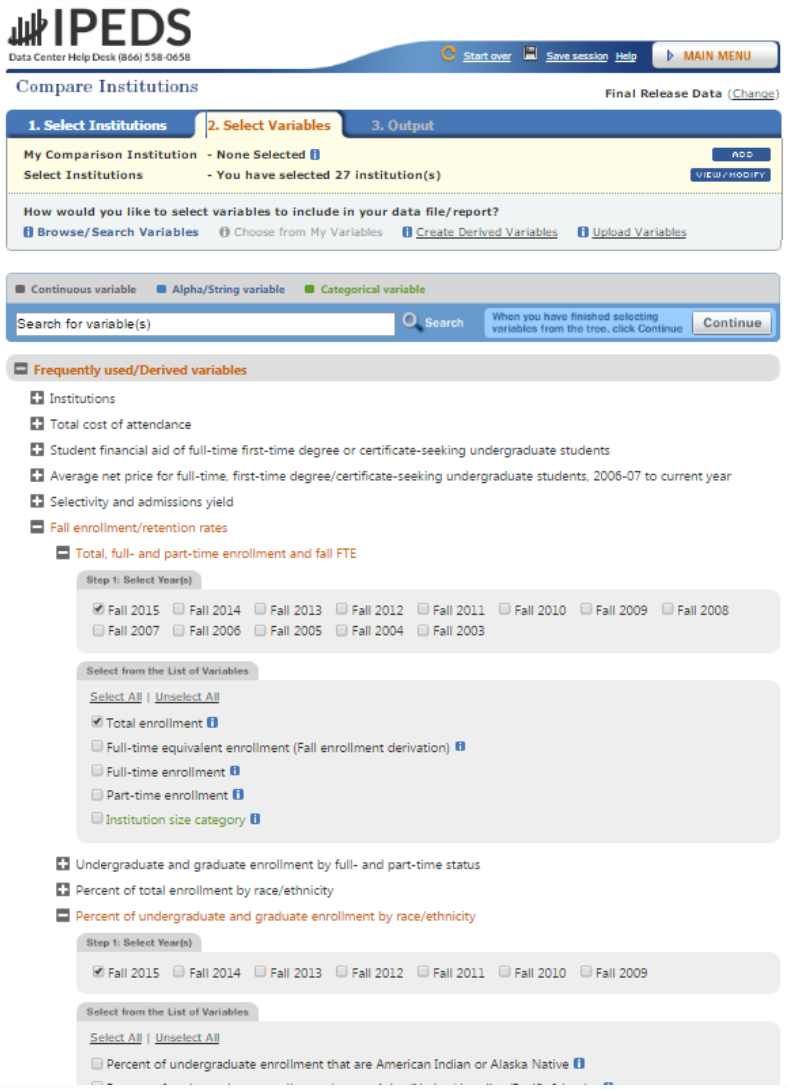
Upload…





ID	Institution Name	City	State
139755	Georgia Institute of Technology-Main Campus	Atlanta	GA
228787	The University of Texas at Dallas	Richardson	TX
166629	University of Massachusetts-Amherst	Amherst	MA
139940	Georgia State University	Atlanta	GA
106397	University of Arkansas	Fayetteville	AR
181464	University of Nebraska-Lincoln	Lincoln	NE
151351	Indiana University-Bloomington	Bloomington	IN
110635	University of California-Berkeley	Berkeley	CA
227216	University of North Texas	Denton	TX
190576	CUNY Graduate School and University Center	New York	NY
228769	The University of Texas at Arlington	Arlington	TX
126614	University of Colorado Boulder	Boulder	CO
214777	Pennsylvania State University-Main Campus	University Park	PA

Add Variables of Interest



	A	B	C	D	E	F
1	UnitID	Institution Name	Total enrollment (DRVEF2015)	Percent of total enrollment that are Nonresident Alien (DRVEF2015)	Percent of undergraduate enrollment that are Nonresident Alien (DRVEF2015)	Percent of graduate enrollment that are Nonresident Alien (DRVEF2015)
2	104151	Arizona State University-Tempe	51984	17	13	35
3	217882	Clemson University	22698	7	1	30
4	190576	CUNY Graduate School and University Center	6954	13	2	17
5	232186	George Mason University	33929	7	5	12
6	139755	Georgia Institute of Technology-Main Campus	25034	22	11	39
7	139940	Georgia State University	32058	5	2	16
8	151351	Indiana University-Bloomington	48514	13	10	26
9	214777	Pennsylvania State University-Main Campus	47307	15	11	41
10	196060	SUNY at Albany	17178	9	6	18
11	229115	Texas Tech University	35859	8	5	25
12	228769	The University of Texas at Arlington	41988	12	4	33
13	228778	The University of Texas at Austin	50950	10	5	26
14	228787	The University of Texas at Dallas	24554	24	3	59
15	106397	University of Arkansas	26754	6	4	16
16	110635	University of California-Berkeley	38189	17	14	24
17	110671	University of California-Riverside	21385	8	4	33
18	110705	University of California-Santa Barbara	23497	9	7	24
19	110714	University of California-Santa Cruz	17868	5	4	23
20	126614	University of Colorado Boulder	33056	8	6	19
21	130943	University of Delaware	22852	9	4	36
22	225511	University of Houston	42704	10	5	31
23	166629	University of Massachusetts-Amherst	29269	9	4	24
24	181464	University of Nebraska-Lincoln	25260	10	8	19
25	227216	University of North Texas	37299	7	4	19
26	207500	University of Oklahoma-Norman Campus	27428	7	5	14
27	209551	University of Oregon	24032	14	14	12
28	240453	University of Wisconsin-Milwaukee	26726	6	4	15

Format as Desired

Percent International Students - IU Bloomington Compared to Similar Institutions (Public, R1, Research Doc/Comp/NoMedVet)

	Total Enrollment		Percent International Enrollment					
			Total		Undergraduate		Graduate	
Institution Name	N	(Rank)	N	(Rank)	N	(Rank)	N	(Rank)
The University of Texas at Dallas	24,554	(19)	24	(1)	3	(24)	59	(1)
Georgia Institute of Technology-Main Campus	25,034	(18)	22	(2)	11	(4)	39	(3)
University of California-Berkeley	38,189	(7)	17	(3)	14	(1)	24	(13)
Arizona State University-Tempe	51,984	(1)	17	(3)	13	(3)	35	(5)
Pennsylvania State University-Main Campus	47,307	(4)	15	(5)	11	(4)	41	(2)
University of Oregon	24,032	(20)	14	(6)	14	(1)	12	(26)
Indiana University-Bloomington	**48,514**	**(3)**	**13**	**(7)**	**10**	**(6)**	**26**	**(10)**
CUNY Graduate School and University Center	6,954	(27)	13	(7)	2	(25)	17	(21)
The University of Texas at Arlington	41,988	(6)	12	(9)	4	(16)	33	(6)
University of Nebraska-Lincoln	25,260	(17)	10	(10)	8	(7)	19	(17)
The University of Texas at Austin	50,950	(2)	10	(10)	5	(11)	26	(10)
University of Houston	42,704	(5)	10	(10)	5	(11)	31	(8)
University of California-Santa Barbara	23,497	(21)	9	(13)	7	(8)	24	(13)
SUNY at Albany	17,178	(26)	9	(13)	6	(9)	18	(20)
University of Delaware	22,852	(22)	9	(13)	4	(16)	36	(4)
University of Massachusetts-Amherst	29,269	(13)	9	(13)	4	(16)	24	(13)
University of Colorado Boulder	33,056	(11)	8	(17)	6	(9)	19	(17)
Texas Tech University	35,859	(9)	8	(17)	5	(11)	25	(12)
University of California-Riverside	21,385	(24)	8	(17)	4	(16)	33	(6)
George Mason University	33,929	(10)	7	(20)	5	(11)	12	(26)
University of Oklahoma-Norman Campus	27,428	(14)	7	(20)	5	(11)	14	(25)
University of North Texas	37,299	(8)	7	(20)	4	(16)	19	(17)
Clemson University	22,698	(23)	7	(20)	1	(27)	30	(9)
University of Arkansas	26,754	(15)	6	(24)	4	(16)	16	(22)
University of Wisconsin-Milwaukee	26,726	(16)	6	(24)	4	(16)	15	(24)
University of California-Santa Cruz	17,868	(25)	5	(26)	4	(16)	23	(16)
Georgia State University	32,058	(12)	5	(26)	2	(25)	16	(22)

THANK YOU

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